NEW FREQUENCY QUADRUPLING TECHNIQUES BASED ON CARRIER SUPPRESSION FOR HIGH-QUALITY 60 GHz RADIO-OVER-FIBER SYSTEMS

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UNIVERSITI MALAYSIA PERLIS

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By

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A thesis submitted In fulfillment of the requirements for the degree of Doctor of Philosophy (Communication Engineering)

School of Computer and Communication Engineering UNIVERSITI MALAYSIA PERLIS (UniMAP) MALAYSIA

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TABLE OF CONTENTS

	PAGE
DECLARATION OF THESIS	i
ACKNOWLEDGEMENTS	ii
TABLE OF CONTENTS	iii
LIST OF TABLES	viii
LIST OF FIGURES	ix
LIST OF ABBREVIATIONS	xiii
ABSTRAK	xvi
ABSTRACT	xix
LIST OF TABLES LIST OF FIGURES LIST OF ABBREVIATIONS ABSTRAK ABSTRACT CHAPTER 1: INTRODUCTION	
1.1 Research Background	1
1.2 Problem Statement	9
1.3 Research Objectives	10
1.4 Scope and Limitations of the Work	11
1.5 Methodology	12
1.6 Contributions	14
1.7 Thesis Outlines	14
CHAPTER 2: LITERATURE REVIEW	
2.1 Introduction	16
2.2 Electrical Approaches for MMW Wireless Signals Transp	ortation 16

2.3	Optical	Approaches	for MMW Wireless Signals Transportation	1/
2.4	Radio-c	over-Fiber To	echnology	20
	2.4.1	Radio-ove	r-Fiber System Configuration	21
	2.4.2	External Ir	ntensity Modulation Techniques	24
	2.4.3	Technical	Issues to be Resolved in RoF systems	29
2.5	Optical	Millimeter-	Wave Signal Generation Techniques	31
	2.5.1	Optical He	eterodyne Detection Technique	31
		2.5.1.1	Optical Phase-Locked Loop	34
		2.5.1.2	Optical Injection Locking	35
	2.5.2	Optical Fro	equency Up-conversion Techniques	36
		2.5.2.1	Frequency-Doubling Technique	37
		2.5.2.2	Frequency-Quadrupling Technique	42
		2.5.2.3	Frequency-Sixupling Technique	50
2.6	Summa	ry	Note	53
		2.5.2.3	8	
CHA	APTER (LOPMENT OF NEW FREQUENCY-QUADRUPLING	
			DACHES FOR HIGH-QUALITY 60 GHz OPTICAL	
	()	MMW	SIGNAL GENERATION	
3.1	Introdu	ction		55
3.2	Propose	ed Frequency	y-Quadrupling Approach Using Two Parallel DD-MZMs	56
	3.2.1	Principle of	f DD-MZM Modulation	56
	3.2.2	Principle of	f the Proposed OCS MMW Signal Generation Approach	61
	3.2.3	Theoretical	Analysis	65
	3.2.4	Quality of t	the Generated OCS MMW and Electrical MMW Signals for	69

		В-Т-В С	ase	
		3.2.4.1	Optical Sidebands Suppression Ratio	69
		3.2.4.2	Radio Frequency Spurious Suppression Ratio	71
	3.2.5	Transmis	ssion Performance of the Generated OCS MMW Signal over	73
		Optical F	Fiber	
	3.2.6	Advantag	ges of the Approach	77
3.3	Propos	ed Frequer	ncy-Quadrupling Approach Using One IDP-MZM	78
	3.3.1	Principle	of SD-MZM Modulation	78
	3.3.2	Principle	of the Proposed Optical MMW Signal Generation Approach	81
		Based on	one IDP-MZM	
	3.3.3	Theoretic	cal Analysis	85
	3.3.4	Quality of	of the Generated Optical MMW and Electrical MMW Signals	88
		for B-T-I	3 Case	
		3.3.4.1	Optical Sidebands Suppression Ratio	88
		3.3.4.2	Radio Frequency Spurious Suppression Ratio	89
	3.3.5	Transmis	sion Performance of the Generated Optical MMW Signals	89
	1/1	Over Opt	cical Fiber	
	3.3.6	Advantag	ges of the Approach	94
3.4	Summa	ary		95
CH	APTER	4: RES	ULTS AND DISCUSSION	
4.1	Introdu	iction		97
4.2	Evalua	tion and Si	imulation Results of the Proposed Frequency-Quadrupling	97
	Approa	ach Using	Гwo Parallel DD-MZMs	

	4.2.1	Back-To-Back System	98
	4.2.2	Effect of Phase Shifting	103
	4.2.3	Effect of RF driving Voltage Deviation	104
	4.2.4	Effect of Non-ideal MZM Extinction Ratio	105
	4.2.5	Effect of RF Oscillator	107
	4.2.6	Radio-over-Fiber system	108
	4.2.7	Effect of Modulation Index	116
	4.2.8	Bit-Error-Rate Variation as a Function of Fiber Length	118
4.3	Evaluat	tion and Simulation Results of the Proposed Frequency-Quadrupling	119
	Approa	ach Using One IDP-MZM Back-To-Back System	
	4.3.1	Back-To-Back System	119
	4.3.2	Effect of Phase Shifting	127
	4.3.3	Effect of RF driving Voltage Deviation	129
	4.3.4	Effect of Non-ideal IDP-MZM Extinction Ratio	130
	4.3.5	Effect of RF Oscillator	132
	4.3.6	Effect of Modulation Index	133
	4,3.7	Radio-over-Fiber System	135
4.4	Compa	rison of Approaches	141
4.5	Summa	nry	143
CH	APTER :	5: CONCLUSIONS AND FUTURE WORKS	
5.1	Conclu	isions	145
5.2	Future	Works	148

REFERENCES		150
APPENDIXES		161
Appendix A	Derivation of Equation (3.14)	161
Appendix B	Derivation of Equation (3.24)	163
Appendix C	Integrated Dual-Parallel Mach–Zehnder Modulators	165
	MXIQ-LN-40 Parameters	
Appendix D	Integrated Dual-Parallel Mach–Zehnder Modulators MXIQ-LN-40 Parameters Derivation of Equation (3.39) Derivation of Equation (3.46)	166
Appendix E	Derivation of Equation (3.46)	168
Appendix F	ITU-G.652 Non-Dispersion Shifted Fiber (NDSF) Standard	171
LIST OF PUBLI	ICATIONS	173

LIST OF TABLES

NO.		PAGE
Table 1.1	Summary of Wireless Access Networks.	5
Table 1.2	Comparison of TDM-PON and WDM-PON Technologies.	7
Table 2.1	Comparison of MMW Signal-Transporting Approaches.	20
Table 2.2	Comparison of EIM Techniques.	29
Table 2.3	Comparison of MMW Generation Techniques.	53
Table 2.4	Survey of OFU Techniques to Generate MMW Signals.	54
Table 4.1	Comparison of Present Work With That of Earlier Works.	142
	Comparison of Present Work With That of Earlier Works.	

LIST OF FIGURES

NO.		PAGE
1.1	Wireless Access Technologies.	2
1.2	Architecture of TDM-PON.	8
1.3	Architecture of WDM-PON.	8
1.4	Classification of Optical MMW Generation Techniques	11
1.5	Research Methodology	12
2.1	Electrical Transportation System for MMW Wireless Signals.	17
2.2	Categories of MMW Wireless Signals Transmission Over	19
	Optical Fiber .	
2.3	Basic RoF System.	22
2.4	Intensity Modulation of the Light Source by DIM and EIM.	23
2.5	Optical Output Power versus the Applied Voltage for MZM.	24
2.6	Principle Diagram of DD-MZM Modulation.	25
2.7	OHD Technique.	32
2.8	OPLL Technique.	34
2.9	OIL Technique.	35
2.10	Frequency-Doubling Technique by using DD-MZM.	37
2.11	Frequency-Doubling Technique by using SD-MZM.	39
2.12	Frequency- Doubling Technique by using an Optical PM.	40
2.13	Frequency-Quadrupling Technique by using a Single MZM.	42
2.14	Frequency-Quadrupling Technique by using a Cascaded MZMs	44
	With a ODL.	
2.15	Frequency-Quadrupling Technique by using a Cascaded	46
	DD-M7Ms	

2.16	Frequency-Quadrupling Technique by using Nested MZMs.	47
2.17	Frequency-Quadrupling Technique by using an IMZM with Four	49
	Optical PM Arms.	
2.18	Frequency-Sixupling Technique by using Fiber Nonlinearity.	50
2.19	Frequency-Sixupling Technique by using Two Cascaded	52
	DD-MZMs Interleaved with GOBF.	
3.1	Principle Diagram of Optical MMW Signal Generation by using	57
	DD-MZM.	
3.2	Simulated Optical Spectrum of the Generated MMW signal with	60
	Different Modulation Techniques.	
3.3	Principle of the Proposed OCS MMW Signal Generation	63
	Approach.	
3.4	Flow Chart of the Proposed Frequency-Quadrupling Approach.	64
3.5	Bessel Function of the First Kind.	70
3.6	Diagram of the RoF System Based on the Proposed OCS MMW	74
•	Signal Generation Approach.	
3.7	Principle Diagram of Optical MMW Generation via SD-MZM.	79
3.8	Principle of the optical MMW Signal Generation Approach.	83
3.9	Flow Chart of the Proposed Frequency-Quadrupling Approach.	84
3.10	Diagram of the RoF System Based on the Proposed Optical	93
	MMW Signal Generation Approach.	
4.1	Simulation Setup for the Designed B-T-B System Using	99
	"OptiSystem" Software.	
4.2	Simulated 60 GHz MMW Signal Generated by the Proposed	101
	Approach when ER is Infinite.	

4.3	Simulated 60 GHz MMW Signal Generated by the Proposed	102
	Approach When ER is 25 dB.	
4.4	OSSR and RFSSRR versus Phase Shifting at 25 dB ER.	104
4.5	OSSR and RFSSRR versus RF Driving Voltage Deviation at	105
	25 dB ER.	
4.6	Effect of Non-Ideal MZM ER on OSSR and RFSSRR.	107
4.7	Effect of Oscillator RF on OSSR and RFSSRR at 25 dB ER.	104
4.8	Block Diagram of the designed RoF System.	109
4.9	Simulation Setup for the Designed RoF System Using	110
	"OptiSystem" Software.	
4.10	Simulating Spectra at Different Locations Corresponding to (a)	111
	and (b) In Figure 4.8.	
4.11	Simulated Electrical Eye Patterns of the Down-Converted	114
	2.5 Gbps Baseband Signals Carried by the 60 GHz MMW Signal	
	at Transmission Distance of: (a) 20, (b) 40, (c) 60, and (d) 70 km.	
4.12	Simulated Eye Patterns at Transmission Distance of (a) 0, (b) 20,	115
	(c) 40, and (d) 70 km.	
4.13	OSSR versus RF Oscillator with a Function of Modulation	116
	Index.	
4.14	Q-factor versus Modulation Index.	117
4.15	BER versus Fiber Length.	118
4.16	Block Diagram of the Designed B-T-B System.	120
4.17	Simulation Setup for the Designed B-T-B System Using	120
	"OptiSystem" Software.	
4.18	Simulated 60 GHz Signal When $\Delta \phi = 135^{\circ}$ and ER is Infinite.	123

4.19	Simulated 60 GHz MMW Signal When $\Delta \phi = 45^{\circ}$ and ER	124
	is Infinite.	
4.20	Simulated 60 GHz MMW Signal When $\Delta \phi$ =45° and ER is	125
	30 dB.	
4.21	Simulated 60 GHz MMW Signal When $\Delta \phi = 135^{\circ}$ and ER is	126
	30 dB.	
4.22	OSSR against Phase Shift Deviation at 30 dB ER.	128
4.23	RFSSRR against Phase Shift Deviation at 30 dB ER.	128
4.24	OSSR against RF Driving Voltage Deviation at 30 dB ER.	129
4.25	RFSSRR against RF Driving Voltage Deviation at 30 dB ER.	130
4.26	Effect of Non-Ideal IDP-MZM ER on OSSR.	131
4.27	Effect of Non-Ideal IDP-MZM ER on RFSSRR.	132
4.28	Effect of RF Oscillator on OSSR and RFSSR at an IDP-MZM	133
	ER of 30 dB.	
4.29	RFSSRR Versus. RF Oscillator with a Function of Modulation	134
•	Index.	
4.30	Q-factor versus RF Modulation Index	135
4.31	Simulation Setup for the designed RoF System.	136
4.32	Simulation Setup for the Designed RoF System Using	137
	"OptiSystem" Software.	
4.33	Simulated Electrical Eye Patterns of Down-converted 2.5 Gbps	140
	Baseband Signals at Transmission Distance of (a) 20 (b) 40 (c)	
	60 and (d) 65 km.	
4.34	Simulated Eye Patterns at Transmission Distance of (a) 0, (b) 20,	141
	(c) 40, and (d) 65 km.	

LIST OF ABBREVIATIONS

1**G** First Generation

2GSecond Generation

3G Third Generation

4G Fourth Generation

ed by original copyright A/D Analogue to Digital Convertor

AWG Arrayed Waveguide Grating

BBBase Band

Bit-Error-Rate BER

BERT Bit Error Rate Tester

BS **Base Station**

B-T-B Back-to-Back

Chromatic Dispersion CD

Complementary Metal-Oxide-Semiconductor **CMOS**

Central Office CO

CS Central Station

Continuous Wave

dB Decibel

Decibels, Milliwatt dBm

D Chromatic Dispersion Parameter

D/A Digital to Analog Converter

Direct Current DC

Dual Drive Mach–Zehnder Modulator DD-MZM

DFB-LD Distributed feedback laser diode DIM **Direct Intensity Modulation**

DR Dynamic Range

EDFA Erbium Doped Fiber Amplifier

EIM External Intensity Modulation

E/O Electrical To Optical

EPON Ethernet Passive Optical Network

ER Extinction Ratio

FBG Fiber Bragg Grating

Fiber To The Home FTTH

Fiber To The Premise **FTTP**

FWM Four Wave Mixing

Gigabit per second Gbps

,dby original copyright $Gigahertz = 10^9 Hertz$ GHz

Global System For Mobile Communications **GSM**

High Definition Television HDTV

IDP-MZM Integrated Dual-Parallel MZM

IEEE Institute of Electrical and Electronics Engineers

Intermediate Frequency

IM **Intensity Modulation**

IM-DD Intensity Modulation and Direct Detection

ISI Intersymbol Interference

LD Laser Diode

LED Light Emitting Diode

Lithium Niobate Oxide LiNO₃

LO Local Oscillator LOS Line-of-Sight

Advanced Long Term Evolution LTE+

Maximum Transmission Bias Point **MATBP**

MITBP Minimum Transmission Bias Point

MMI Multimode Interference

MMW Millimeter-Wave

original copyright MZI Mach-Zehnder Interferometer

NDSF Non Dispersion Shift Fiber

NF Noise Figure

Non-Line-of-Sight **NLOS**

Optical Carrier Suppression OCS

Optical Double Sideband **ODSB**

ODL Optical Delay Line

Optical To Electrical O/E

Optical Frequency Upconversion **OFU**

Optical Heterodyne Detection OHD

OIL Optical Injection Locking

Optical Line Terminal OLT

ONU Optical Network Unit

OOK On-Off Keying

OPLL Optical Phase Locked Loop

OSSR Optical Sidebands Suppression Ratio

Optical Single Sideband **OSSB**

Photo Diode PD

PIN Positive Intrinsic Negative PM Phase Modulation

PON Passive Optical Networks

PRBS Pseudorandom Binary Sequence

QAM Quadrature Amplitude Modulation

QBP Quadrature Bias Point

RF Radio Frequency

RIN Relative Intensity Noise

RFSSRR Radio Frequency Spurious Suppression Ratio

RoF Radio Over Fiber

RS Raman Scattering

SD-MZM Single Drive Mach–Zehnder Modulator

SNR Signal to Noise-Ratio

SMF Single Mode Fiber

TDM Time Division Multiplexing

UMTS Universal Mobile Telecommunication System

WDM Wavelength Division Multiplexing

WiMax Worldwide Interoperability for Microwave Access

WLAN Wireless Local Area Network

WPAN Wireless Personal Area Network

Teknik Baru Frekuensi Empat Kali Ganda Berasaskan Penyekatan Pembawa Untuk Sistem Radio Atas Gentian 60ghz Berkualiti Tinggi

ABSTRAK

Gelombang millimeter (MMW) rangkaian tanpa wayar dalam jalur tanpa lesen 60GHz menjadi teknologi utama untuk membolehkan capaian pelbagai gigabit tanpa wayar dan penyediaan aplikasi yang memerlukan kualiti perkhidmatan (QoS) sensitif. Walau bagaimanapun, banyak kesukaran dalam sistem tanpa wayar 60 GHz yang perlu diselesaikan; yang paling utama adalah kehilangan laluan udara yang lebih tinggi. Ini bermakna lebih banyak stesen tapak (BSs) diperlukan untuk memberi liputan bagi kawasan yang luas. Teknologi radio melalui gentian optik (RoF)-merupakan integrasi antara sistem tanpa wayar dan optik, telah lama dicadangkan sebagai teknologi yang sesuai untuk menyelesaikan kesukaran ini. Disamping banyak kelebihan seperti kehilangan penghantaran yang rendah, kos yang rendah, lebar jalur yang besar dan imuniti kepada gangguan elektromagnetik. Teknik RoF membolehkan kerumitan beralih dari BSs ke pejabat pusat dengan peruntukan berpusat bagi pembawa MMW. Dalam sistem RoF, kos yang efektif dan penjanaan isyarat frekuensi tinggi yang berkualiti tinggi melalui perlaksanaan yang mudah merupaka teknologi utama dalam perlaksanaan system ini. Berbanding kaedah konvensional elektrik, kaedah optik adalah lebih digemari.

Dalam tesis ini, kami mencadangkan dua pendekatan baru bagi penjanaan dan penghantaran isyarat MMW 60 GHz termodulat optic menggunakan pengganda frekuensi ganda empat berasaskan kepada dua pemodulat *Mach*–Zehnder (DD-MZMs) dan satu MZM (IDP-MZM) dwi-selari bersepadu. Hasil dari selari dwi-pandu pendekatan yang dicadangkan, dua frekuensi ganda empat skim penjanaan MMW optik yang baru direka telah dicadangkan. Skim yang pertama menggunakan dua DD-MZM selari untuk menjana isyarat MMW optik beserta penyekatan pembawa (OCS) tanpa penapis optik, manakala skim yang kedua hanya menggunakan satu IDP-MZM untuk menghasilkan dwi nada isyarat MMW optik yang berkualiti tinggi. Analisis teori terperinci penjanaan isyarat MMW optik menggunakan pendekatan yang dicadangkan itu dijalankan dan ungkapan jelas bagi nisbah penindasan jalur sisi optik (OSSR), nisbah frekuensi radio penyekatan palsu (RFSSRR), dan arus MMW diberikan dan boleh digunakan untuk penilaian prestasi sistem ROF. Perisian simulasi, OptiSystem, digunakan untuk mensimulasikan skim penjanaan MMW optik frekuensi empat kali ganda. Kesefahaman yang baik antara teori dan simulasi diperolehi. Dengan menggunakan pendekatan pertama, keputusan simulasi menunjukkan bahawa isyarat MMW 60 GHz boleh dijana dari pengayun RF 15 GHz dengan OSSR sehingga 39.4 dB dan RFSSRR melebihi 35 dB tanpa mana-mana penapis optik atau elektrik apabila nisbah kepupusan daripada MZM ialah 25 dB. Manakala bagi pendekatan kedua, keputusan simulasi menunjukkan bahawa MMW 60 GHz boleh dijana daripada pengayun 15 GHz RF dengan OSSR dan RFSSRR yang melebihi 33.7 dB dan 33.4 dB tanpa penggunaan penapis optik atau elektrik apabila nisbah kepupusan MZM ialah 30 dB. Pengaruh beberapa nombor ukuran yang tidak ideal, seperti nisbah kepupusan tidak sempurna, voltan RF didorong tidak ideal dan peralihan fasa pada dicadangkan skim generasi MMW optik dikaji menerusi simulasi. Keputusan menunjukkan perubahan kecil dari nilai ideal tidak akan menyebabkan pemerosotan yang besar bagi isyarat MMW optik yang telah dijana. Akhir sekali, kami membina suatu sistem RoF menerusi simulasi, dan prestasi penghantaran oleh isyarat MMW yang dijanakan telah dibentangkan. Corak mata masih jelas terbuka walaupun selepas gentian optik sepanjang 60 km.

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New Frequency Quadrupling Techniques Based On Carrier Suppression For High-Quality 60 GHz Radio-over-Fiber Systems

ABSTRACT

Millimeter-wave (MMW) wireless networks in the 60 GHz unlicensed band have become a key technology for enabling multi-gigabit wireless access and provisioning of quality of service (QoS)-sensitive applications. However, numerous difficulties need to be solved in the 60 GHz wireless systems, the most important of which is the high air-link loss. This issue implies that many remote base stations (BSs) need to be deployed to cover a large area. Radio-over-fiber (RoF) technology, the integration of wireless and optical communication, has long been proposed as an ideal technology for solving these difficulties. Aside from numerous advantages, such as low propagation loss, low cost, large bandwidth, and immunity to electromagnetic interference, the RoF technology enables the shift of complexity from BSs to a central office by a centralized provision of the MMW carrier. In an RoF system, the highquality generation of MMW signals with simple implementation is a key technique. Compared with the conventional electrical method, the optical method is preferable. In this thesis, we propose two new frequency-quadrupling approaches for the optical generation and downstream transmission of high-quality and data modulated 60 GHz signals on the basis of two parallel dual-drive Mach–Zehnder Modulators (DD-MZMs) and one integrated dual-parallel MZM (IDP-MZM). As a result of these proposed approaches, two newly designed optical MMW generation schemes are proposed. The first scheme uses two parallel DD-MZMs to generate a high-quality optical carrier suppression (OCS) MMW signal, whereas the second scheme uses only one IDP-MZM to generate a high-quality optical MMW signal without optical filter. A detailed theoretical analysis of the proposed frequency-quadrupling approaches is conducted. Moreover, explicit expressions of the optical sidebands suppression ratio (OSSR), radio frequency spurious suppression ratio (RFSSRR), and MMW current are given and can be utilized for the evaluation of the qualities of the generated optical and electrical MWW signals in back-to-back (B-T-B) transmission, as well as the transmission performance of the generated optical MMW signal over optical fiber. The simulation software, OptiSystem (version 9.0), is utilized to evaluate the performance of the proposed approaches. Good agreement is found between theory and simulation. By using the first approach, the simulation results show that a 60 GHz MMW signal can be generated from a 15 GHz RF oscillator with an OSSR of up to 39.4 dB and an RFSSRR exceeding 35 dB without optical or electrical filter when the extinction ratios of the two DD-MZMs are 25 dB. For the second approach, the simulation results show that the a 60 GHz MMW signal can be generated with an OSSR and an RFSSRR equal to 33.7 dB and 33.4 dB, respectively, without optical or electrical filter when the extinction ratio of the IDP-MZM is 30 dB. The influences of a number of non-ideal parameters, such as imperfect extinction ratio, RF driving voltage deviation, and phase shifting, on the performance of the proposed optical MMW generation schemes are examined through simulations. Results indicate that a slight deviation from the ideal values would not result in a significant degradation of the generated optical MMW signal. Finally, we build a RoF system through simulation, and the transmission performance of the generated optical MMW signals is presented. The eye pattern is clearly opened even when the optical MMW signal is transmitted over 60 km.

CHAPTER ONE

INTRODUCTION

1.1 Research Background

The demand for high-bandwidth access networks has been growing rapidly with no end in sight. Bandwidth-hungry applications such as high-definition (HD) telepresence and HD televisions (HDTVs) are now accessible and require considerable bandwidth. These applications force the need for a connection that can carry high bit rates inside buildings and homes.

Wireless access networks have become one of the most pervasive core technology enablers for diverse forms of communication and computing applications. The evolution of wireless technologies and the presence of inexpensive wireless equipments have transformed consumer behavior from low-bandwidth applications to rich-media content, including video streaming, rich-content downloading and interactive applications (Thapliya & Hu, 2013). Wireless technologies are technologies that require line-of-sight (LOS) and non-line-of-sight (NLOS) operations. The NLOS technologies provide advantages in terms of ease of deployment and wider network coverage. Wireless technologies support a variety of applications and vary according to range, speed, and bandwidth (Figure 1.1) (Kuran & Tugcu, 2007; Jia, 2008). Wireless fidelity (Wi-Fi) based on IEEE 802.11 standards is an NLOS technology developed in the last 10 years for shortrange applications and hotspot connectivity within home and business local area networks (LANs). Wi-Fi has been classified into a number of standards, including IEEE 802.11a, 802.11b, and 802.11g. Wi-Fi offers theoretical data transmission rates of up to 54, 11, and 54 Mbps per channel for 802.11a/b/g, respectively, and a limited range of 100 m (Bhoyar, Ghonge, & Gupta, 2013). The current version of IEEE 802.11n can support transmission rates of 600 Mbps by employing multiple-input multiple-output techniques. However, at present theoretical data transmission rates of 54 Mbps capability limits the practical end user rate to approximately 1Mbps (Wells, 2009).

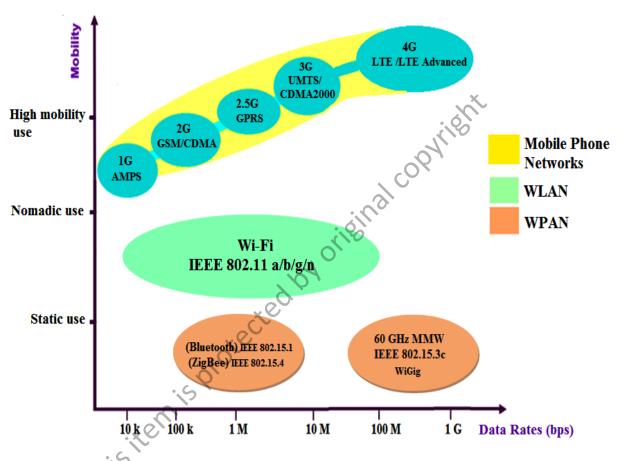


Figure 1.1: Wireless access technologies (Zhensheng Jia, 2008)

A wireless personal area network (WPAN) is a short-range wireless network for interconnecting devices, such as personal digital assistants (PDAs) and cell phones, centered around an individual person's workspace (Callaway, et al., 2002). WPAN has been classified into two standards, including IEEE 802.15.1 (Bluetooth) and IEEE 802.15.4 (ZigBee). Bluetooth was the first low data-rate standard for WPAN networks. This standard enables wireless connectivity between mobile phones, computers, and electronic appliances with data rates of up to 3 Mbps. ZigBee is targeted for ultra-low

complexity, ultra-low cost, ultra-low power consumption, and low data-rate wireless connectivity. This standard can be used for wireless sensor networks (WSNs), industrial control, home, office/factory automation, and tracking. ZigBee can achieve data rates of 20 Kbps to 250 Kbps (J. S. Lee, Su, & Shen, 2007).

First-generation (1G) mobile phones had only voice facilities. These phones were then replaced by second-generation (2G) digital phones that added fax, data, and messaging services. These 2G phone systems differed from 1G phone systems in their use of digital transmission instead of analog transmission and the introduction of fast phone-tonetwork signaling (Harte & Bowler, 2003). Global System for Mobile Communications (GSM) is the most popular digital cellular network standard allocated in the radio spectrum around 900 and 1800 MHz. The initial GSM standard allowed only 13 Kbps for voice transmission and 9.6 Kbps for data transmission (Clark, 2000). General Packet Radio Service (GPRS) is an additional technology applied as an overlay on GSM networks to facilitate data rates of up to 170 Kbps and transfers large data files (Halonen, Romero, & Melero, 2002). Universal Mobile Telecommunication System (UMTS) is a third generation (3G) networking standard adopted globally as an upgrade to existing GSM mobile networks; this system added multimedia facilities to 2G phones. The basic UMTS system provides downlink speeds of 2 Mbps and uplink speeds of up to 384 Kbps (Walke, Seidenberg, & Althoff, 2003). Long Term Evolution (LTE) is the fourth generation (4G) of mobile phone technology and enables mobile data and voice communication with transmission speeds of up to 150 Mbps (Holma & Toskala, 2009). LTE is provided as a substitute to existing 2G and 3G technologies. The 6 GHz to 38 GHz point-to-point (P2P) licensed bands are commonly heavily congested and are used particularly for mobile backhauling. The maximum channel bandwidth in these frequencies is 56 MHz. Even with