# A survey on automated wheeze detection systems for asthmatic patients

Syamimi Mardiah Shaharum<sup>1\*</sup>, Kenneth Sundaraj<sup>1</sup>, Rajkumar Palaniappan<sup>1</sup>

<sup>1</sup> Al-Rehab Research Group, Universiti Malaysia Perlis (UniMAP), Kampus Pauh Putra, 02600 Perlis, Malaysia

#### Abstract

The purpose of this paper is to present an evidence of automated wheeze detection system by a survey that can be very beneficial for asthmatic patients. Generally, for detecting asthma in a patient, stethoscope is used for ascertaining wheezes present. This causes a major problem nowadays because a number of patients tend to delay the interpretation time, which can lead to misinterpretations and in some worst cases to death. Therefore, the development of automated system would ease the burden of medical personnel. A further discussion on automated wheezes detection system will be presented later in the paper. As for the methodology, a systematic search of articles published as early as 1985 to 2012 was conducted. Important details including the hardware used, placement of hardware, and signal processing methods have been presented clearly thus hope to help and encourage future researchers to develop commercial system that will improve the diagnosing and monitoring of asthmatic patients. © 2012 Association of Basic Medical Sciences of FBIH. All rights reserved

KEY WORDS: lung auscultation, lung sounds, wheeze, asthma

# INTRODUCTION

Nowadays, asthma is becoming a common disease that may occur at any age and have become a public health challenge to the world today [1]. It is a chronic inflammatory diseases of the respiratory airway and can be hyper- responsiveness to a variety of stimuli [2]. The asthmatic patient suffers attacks such as coughing, dyspnea, and the main manifestation is wheezing [3]. Sounds generated during breathing can be a good source of information on lung's health [4]. Any characteristic changes of the normal lung sounds can imply a diseased condition that probably is invading the lung. Each type of disease is different from each other and the variation can be ascertained from sound characteristic, pitch, amplitude, frequency, duration, etc. [5]. With regard to asthma, symptoms originating from the wall oscillations of narrowed airways at critical flow rates causes wheeze to occur [3]. Wheeze is one of the adventitious sounds present in lung that is clinically defined as abnormal. The presence of wheeze, its location, duration and its relation to the respiratory cycle can be very useful to assist the physician as it has become a crucial practice in diagnosing and managing a number of

pulmonary pathologies such as chronic obstructive pulmonary disease (COPD), bronchiolitis and commonly asthma [6]. Wheezes are continuous adventitious sounds that are superimposed on the normal breath sounds. According to the American Thoracic Society (ATS), the word "continuous" can be defined as the duration of the wheeze that is longer than 250 ms. The ATS also defines wheezes as highpitched continuous sounds with a dominant frequency of 400 Hz or more. Wheezes can be detected and classified based on the frequency characteristics of its sinusoidal waves that justifies the musical character of the wheeze [7]. Conventionally, stethoscope is used to diagnose and monitor wheezes in asthmatic patients. Although it is well known that auscultation with stethoscope is reliable, fast and noninvasive, continuous monitoring of the respiration condition is impossible [8, 9]. Due to increasing number of asthmatic patients at present, there is a growing demand for automatic monitoring of the wheeze to assist the physicians in diagnosing and monitoring the patient. For asthmatic patients, continuous and automatic monitoring is essential as the daily symptoms can provide crucial information to the medical diagnosis [8]. Therefore, the electronic stethoscope, which is capable of recording and storing lung sounds, is available for many years now. This stethoscope can not only store the data obtained, but such data can be retrieved in future to aid in the interpretation of disease by medical personnel [10]. However, the problem seems to amplify as different physicians interpret the lung sounds differently. To overcome

<sup>\*</sup> Corresponding author: Syamimi Mardiah Shaharum, Al-Rehab Research Group, Universiti Malaysia Perlis (UniMAP), Kampus Pauh Putra, 02600 Perlis, Malaysia Phone: +6049767399; Fax : +6049885167 e-mail: mimishaharum@yahoo.com

Submitted: 4 September 2012 / Accepted: 16 October 2012

these problems, computerized approach has been developed over the past three decades for automated wheeze detection [11]. It is a bit time-consuming, but low in cost and reliable. Many researchers were involved in developing and improving these automated systems and many have succeeded in their research. A survey of literature shows that the main methodologies can roughly be classified into two categories: Fourier peaks detection and spectrogram image analysis. This review paper is organized as follows. In methodology section, we present how articles have been searched and selected for the review in this paper. In the results section, information from all the chosen papers is summarized in a tabular form and an explanation on the content of these papers is given. In the following section, further discussion on the automated wheeze system based on the table developed is presented. And finally, in conclusion, we show how the system can be very beneficial for asthmatic patients. Some avenues for future work are also suggested.

# MATERIALS AND METHODS

#### Samples and Methodology

A systematic search of articles published as early as 1985 to 2012 was conducted in Universiti Malaysia Perlis (UniMAP). Keywords that were used throughout the search are lung auscultation, lung sound, wheezes, crackle, adventitious sounds and others that are synonymous to the search criteria. Only papers with important information for automated wheeze detection and papers published in English only were reviewed. Some papers may have presented other adventitious sound together with wheezes but only wheeze detection method

will be taken into consideration. Moreover, abstracts that have been published as workshop, conference or symposium proceedings were not be considered due to insufficient information presented in them.

### RESULTS

A total of 94 articles were found initially. After, elimination of duplicates and obviously irrelevant titles (n = 67), only 27 articles chosen for this systematic review (see Figure 1). From the total of 94 papers obtained, most of the discarded papers were not related to the discussed automated wheeze detection system. They included several other respiration sounds that are not related to asthma or their study design did not even include any wheezes present even though other lung sounds were discussed. None of the papers included in this systemic review were books or other reports not subject to peer review. From 27 papers chosen, only 21 articles which satisfied the selection criteria are discussed briefly in Table 1. As source of the data obtained is important in developing an automated system, we have listed all the hardware involved in data collection method. It is assured that data collected are from patients suffering from airway obstruction, which led to wheeze formation and the most are from asthmatic patients. No hardware is presented in case researchers used database as their data source. Listed hardware can be very useful for new researchers in developing new automated system for their research. In collecting the data, the placement of the auscultation hardware has been presented as well. This is due to that each point of data collection can result in different quality of the obtained signal. Wheezes have some characteristics that may produce a better signal for certain auscultation placement. Therefore, the placement of auscultation hardware presented may be very useful for collecting the best data for wheezes. The processing method together with the extracted feature used has been listed in Table 1. This seems to be the most crucial part in the successful of an automated system. A poor selection of the processing method and a feature to be extracted from data may cause a system to fail. Therefore, from the table, researchers will be able to choose the best processing method and a best feature to be extracted for a better automated system development. Result from every article may also act as a good reference for the system to be developed.



FIGURE 1. Illustration of studies identified and their management

Author	Year	Hardware and Sensor	Placement	Processing method	Features Extracted	Result
Forkheim et al. (3)	1995	Eight microphones	Chest and back	Back propagation (BP), Neural Network (NN), Fast Fourier Transform (FFT), Radial Basis Function (RBP), Self Organizing Map (SOM), Learning Vector Quantization (LVQ)	FT Spectrum	Comparison between types of neural network obtained, 94.5% accuracy for RBF, 91.% accuracy for SOM, 94.5% accuracy for LVQ
Zhang et al. (9)	2009	Microphones (Panasonic WM-64 PN)	NA	Entropy-Based Wheeze Detection (EBWD), STFT	Entropy	Able to identify 85% wheezes samples and systems have been imple- mented into wearable sound-based respiration monitoring system.
Mayorga et al. (17)	2010	NA (database)	ΥX	Finite Impuse Response (FIR) filter, Hamming win- dow. Fast Fourier Transform (FFT), Gaussian Mixture Models (GMM)	Mel Frequency Cepstral Coefficients (MFCC)	Accuracy of 98.7% obtained.
Cobera et al. (18)	2000	Piezoelectric PPG sensor (Technion), Spirometer (Horizont. USA), Pneu- matacogprah (Jaeger, Germany).	۲Z	Local Adaptive Wheezes Detection Algorithm (LAWDA), Hanning Window	Power Spectral Density (PSD), Mean, Standard Deviation (SD)	Algorithm has good sensitivity (100% to 70%). Excellent specificity (100%).
Jane et al. (8)	2004	Microphones and pneumatachograph	trachea	Autoregressive (AR) model	PSD, Peak Frequency (FP)	Higher value of peak frequency were found in patients with severe obstruction.
Fenton et al. (6)	1985	Two contact accelerom- eter (EMT-25B, Elema- Schonander-Siemens)	Lung and trachea	FFT	Power Spectra	Trachea is a better source for wheeze detection.
Feng Jin et al. (19)	2008	Single Electrets Condenser Micro- phone (ECM-77B, Sony)	Trachea	Short-Time Fourier Transform (STFT), Sample Entropy, Fast Gabor Spectrogram	Mean distortion of histogram	97.9% accuracy for wheezes during expiration while 85.3% accuracy for wheezes in inspiration.

**TABLE 1.** An overview of developed wheeze automated systems

Author	Year	Hardware and Sensor	Placement	Processing method	Features Extracted	Result
Riella et al. (13)	2003	NA (database)	NA	FIR filter, FFT, Hanning window, Multi-Layer Perceptron, BP	Spectral Mean	Proposed technique can be quite useful mainly for a continuous analysis using many respiratory cycle from patient.
Aydore et al. (20)	2009	14 Electret Microphones (Sony ECM-44 BPT), pneumatachograph (Validyne CD379)	Chest wall	Fisher Discriminant Analysis (FDA), Neyman Pearson Hypothesis	Kurtosis, Renyi entropy, f50/ f90 ratio, mean crossing	95.1% accuracy for training and 93.5% accuracy for testing obtained.
Wisniewski et al. (21)	2010	14 microphones ( Sony ECM-44BPT), Pneumatach- ograph (Validyne CD379)	Chest wall	Teager Energy Operator (TEO), Otsu Threshold, STFT, Sample Entropy	Kurtosis, Renyi entropy, f50/ f90 ratio	Review proved that measurement of wheezes in e-health systems can be a very powerful tool.
M. Oud (4)	2003	Air Coupled Electrets Microphone	Trachea	Feed Forward NN, Hanning Window, Feed Forward NN	Welch spectra	Variance considerations can be utilized to reduce the dimension of the spectral data by means of Principal Component Analysis (PCA).
Jain et al. (5)	2008	NA (Database)	NA	FFT, Hanning Window	Spectral analysis	Yielded system with 84% sensitivity, and 86% specificity.
Bahoura et al. (11)	2004	NA (Database)	Ч. М	Gaussian Mixture Models (GMM), MLPVQ	MFCC, Subband Based Cepstral (SBC)	GMM-MFCC successfully clas- sify sounds into two category (wheeze and normal sounds).
Cortes et al. (16)	2005	Piezoelectric PPG sen- sor (Technion, Israel), Pneumatachograph	tracheal	AR	PSD,FP	System can be used for patients with high obstruction on whom spirometric test cannot be carried out.
Hsueh et al. (22)	2005	Microphone Sensor (KEC2738)	thorax	Shabtai-Musih Algorithm, Hanning Window, LAWDA	PSD	System have been tested and obtained sensitivity and specific- ity above 89%.

Author	Year	Hardware and Sensor	Placement	Processing method	Features Extracted	Result
Jane et al. (14)	1998	PFT Horizon Spirometer (USA), Piezoelectric Contact Microphone (Technion), Jaeger Pneumatachograph (Screenmate, Germany)	Near the cricoids cartilage	AR	PSD	Respiratory sound analysis showed to be complementary to classical spirometry
Corbera et al. (23)	2004	Spirometry (PFT Spirometer, Horizon, USA), Pneumatachograph (Screen- mate, Germany), PPG (Technion, Israel)	ЧЧ	LAWDA - Shabtai Musih	Average Mean Frequency	An algorithm to detect wheeze in time-frequency plane, with high sensi- tivity was developed and validated by medical doctor:
Hossain et al. (15)	2004	Piezoelectric accelerometer (Siemens EMT 25C), pneuma- tachograph	right upper lung lobe	Hilbert Transformation, FFT, Linear Regression Analysis	Mean amplitude, mean flow, average power	The LS-Flow model may be used as means of detecting the airway narrowing in respiratory diseases.
Oud et al. (12)	2004	Handmade Air-Coupled Elec- trets Microphone, Spirometer ( Vmx R.O. S., SensorMedics, The Netherlands)	Trachea	Hanning window, ANN	Welch Spectra	Forced Expiratory Volume in 1 s (FEV1) versus Respiratory Resistance (Rns (4)) parameter found that Rns is preferable to FEV.
Riella et al. (24)	2009	NA (Database)	Trachea	STFT, FFT, ANN	Spectral analysis	The successful use of the technique can be helpful in clinical diagnosis mainly when the analysis needed to be per- formed continually using many respira- tory cycles from the same patient.
Taplidou et al. (25)	2007	Five Electrets Condenser Microphones (ECM77B, Sony, Tokyo), Pneumatach- ograph (974010, Siemens, Germany)	Trachea	STFT, Hanning Window	TF analysis	The system developed can be success- fully used due to its noise robustness, fast implementation and increased accuracy.

# DISCUSSION

The authors strongly believe that this article is first of its kind since no previous work on summarizing the previous research on automatic wheeze detection systems beneficial seems to be available. In this section, the data collection procedures and the data processing methods by previous researchers are discussed. Few recommendations based on the analysis from the previous work are also suggested.

#### Data Collection

Nowadays, there are several methods used clinically in detecting wheeze. Methods such as spirometer and other pulmonary function tests are widely used. For an example, Jane et al. [14] in their studies have proved that respiratory sound analysis complementary to the classical spirometry has showed that the automated system can also be reliable as a pulmonary test for detecting wheeze. These methods are time-consuming and also extra effort is needed on part of the patient for such tests. The simplest method to detect wheeze is using microphone mounted on a stethoscope. This method is non-invasive and not time-consuming. This has drawn much attention from researchers to develop automated wheeze detection system. Since automated auscultation technique is not yet implemented in current medical application, the use of current pulmonary function test along with acoustic analysis can improve the reliability of such automated system. While, Hossain [15] have introduced the lung sound-flow rate model that can be used for the detection of airway narrowing occurring in asthmatic patients, Cortes and team [16] in their work proved the unnecessary of using the additional details together with lung sounds. They have successfully developed a system to assist the patient on whom spirometric test cannot be carried out by solely using lung sound signal. From Table 1, it can be observed that most of the previous researchers have collected data by placing the microphone over the trachea region [2, 4, 6, 10, 14, 17, 22, 23]. Riella et al. [12] stressed the reliability of the trachea to be a better location for analyzing wheezes than the lung while Oud et al. [13] claimed that trachea is reliable because all air-propagated lung sound from the two lungs integrates in trachea. Moreover, more frequency information is preserved at the trachea, as the chest wall filters out higher frequencies [12]. This shows that the trachea is the most favorable and reliable position for wheeze data collection.

#### Data Processing

There are several methods being used by the previous researchers to process the lung sounds for detecting wheeze. The lung sounds are either processed in time domain or frequency domain. The lung sounds are non-stationary and

nonlinear. Therefore, analyzing the lung sounds in the timefrequency domain will be more helpful for getting valuable information from the non-stationary signals [26]. Regardless the usefulness of time-frequency domain for analyzing lung sounds, very few researchers have used the methods according to Table 1. The work of Taplidou et al. [25] is the only work being carried out using time-frequency domain. For recognizing the wheeze, the previous researchers have opted to use statistical analysis or machine learning methods. Method such as Fisher Discriminant Analysis (FDA), kurtosis and linear regression analysis are the most commonly used for statistical analysis while neural network (NN), radial basis function (RBP), learning vector quantization (LVQ), Gaussian mixture models (GMM), fast Fourier transform are the most commonly used for machine learning methods. Methods such as genetic algorithm, support vector machine, and practical swarm optimization are yet to be explored in developing the wheeze detection system. The researchers also have not yet touched upon the area of hybrid machine learning algorithm in the detection of wheezes. Hybrid algorithms such as neuro-fuzzy, genetic fuzzy systems, evolutionary neural networks etc, have proved to be effective with other applications [27]. It is recommended to use such algorithms for wheeze detection in the future. As far as the extracted feature are concerned, various type of features have been used by the previous researches such as time-frequency spectrum, entropy, Mel frequency ceptral coefficients (MFCC), power spectral density (PSD), Mean, standard deviation (SD), Peak Frequency (FP), kurtosis, mean crossing, welch spectra, and subband based cepstral (SBC) [3, 4, 8, 9, 11, 17, 19, 21]. It is impossible to choose which features is the best to be extracted, this is because by using the same feature, a system performance can be differ with each other due to different signal processing and classification technique applied. For an example, Corbera et al. [19] successfully obtained 100% specificity while Hsueh et al. [22] only managed to obtained 89% specificity for their system eventhough both of the system uses PSD [19, 22]. Moreover, the higher specificity obtained by Corbera et al. also due to the use of PSD together with SD features resulting a better result for their system. From Table 1, can observed that the most feature used is PSD [6, 8, 14, 16, 18, 22].

#### CONCLUSION

This review provides an insight on automatic wheeze detection systems developed by previous researchers and its potential in both clinical and research setting. The literature review was carried out on the 27 articles that satisfied the selection criteria. A brief summary on the 21 out of 27 articles was tabulated. The table clearly discussed the hardware used, sensor placement, data processing methods, feature extraction methods and important outcome of the 21 articles. A brief discussion was done based on the previous works on automated wheeze detection system. Few recommendations on the placement of the sensor and methods that can be applied in future research were also discussed. The research on the developed system has been carried out for the past three decades. Even though wheeze detection systems have been successfully implemented, they are only at research level and still commercially available real-time wheeze detection system is yet to be developed. Therefore, the future researchers are encouraged to develop commercial system that will improve the diagnosis of wheeze in clinical environment.

# DECLARATION OF INTEREST

We confirm that this manuscript has not been published elsewhere and is not under consideration by another journal. All authors have approved the manuscript and agree with submission to the Journal of the Association of Basic Medical Sciences of Federation of Bosnia and Herzegovina. The authors have no conflicts of interest to declare.

## REFERENCES

- Nikšić D., Saračević E., Ćemerlić-Kulić A., Kurspahić-Mujčić A., Bajraktarević S., Nikšić H. Evaluation of efficiency practical issues in the management of childhood asthma. Bosn J Basic Med Sci. 2005;5(4):40-5.
- [2] Mehmedagić A., Vranić E., Hadžović S., Pokrajac M., Milić J., Mehić B., Zutić H., Konjicija A. The new trends in theophylline therapy. Bosn J Basic Med Sci. 2002;2(1-2):62-65.
- [3] Forkheim, Kevin E., Scuse D., Pasterkamp H. A comparison of neural network models for wheeze detection. WESCANEX 95 Communications, Power, and Computing Conference Proceedings, IEEE 1995; 1:214-219.
- [4] Oud M. Lung function interpolation by means of neural-networksupported analysis of respiration sounds. Med Eng Phys 2003; 25(4): 309-316.
- [5] Jain A, Vepa J, editors. Lung sound analysis for wheeze episode detection. 30<sup>th</sup> Annual International Conference of the IEEE on Engineering in Medicine and Biology Society; 2008: 2582 - 2585.
- [6] Fenton T.R., Pasterkamp H., Tal A., Chernick V. Automated spectral characterization of wheezing in asthmatic children. IEEE Transactions on Biomedical Engineering 1985; 32 (1):50-55.
- [7] Parkhi A., Pawar M., editors. Analysis of Deformities in Lung Using Short Time Fourier Transform Spectrogram Analysis on Lung Sound. International Conference on Computational Intelligence and Communication Networks (CICN) IEEE; 2011:177-181.
- [8] Jané R., Cortés S., Fiz J., Morera J., editors. Analysis of wheezes in asthmatic patients during spontaneous respiration. 26<sup>th</sup> Annual International Conference of the IEEE on Engineering in Medicine and Biology Society, IEMBS'04; 2004: 3836 - 3839.
- [9] Zhang J., Ser W., Yu J, Zhang T., editors. A novel wheeze detection method for wearable monitoring systems. International Symposium on Intelligent Ubiquitous Computing and Education IEEE, 2009; 331 - 334.

- [10] Marshall A., Boussakta S., Pearson S., editors. Applications of signal recognition algorithms to diagnosis and monitoring in chest medicine. The 3<sup>rd</sup> IEEE International Seminar on Medical Applications of Signal Processing, 2005: 121 - 124.
- [11] Bahoura M., Pelletier C., editors. Respiratory sounds classification using Gaussian mixture models. Canadian Conference on Electrical and Computer Engineering IEEE, 2004; 3:1309 - 1312.
- [12] Oud M., Maarsingh E. Spirometry and forced oscillometry assisted optimal frequency band determination for the computerized analysis of tracheal lung sounds in asthma. Physiol Meas 2004;25(3):595-606.
- [13] Riella R., Nohama P., Borges R., Stelle A., editors. Automatic wheezing recognition in recorded lung sounds. Proceedings of the 25th Annual International Conference of the IEEE Engineering in Medicine and Biology Society, 2003; 3:2535 - 2538.
- [14] Jané R., Salvatella D., Fiz J., Morera J., editors. Spectral analysis of respiratory sounds to assess bronchodilator effect in asthmatic patients. Proceedings of the 20<sup>th</sup> Annual International Conference on Engineering in Medicine and Biology Society; 1998; 6: 3203 - 3206.
- [15] Hossain I., Moussavi Z., editors. Finding the lung sound-flow relationship in normal and asthmatic subjects. 26<sup>th</sup> Annual International Conference of the IEEE on Engineering in Medicine and Biology Society, IEMBS'04, 2004; 2: 3852 - 3855.
- [16] Cortes S., Jané R., Fiz J., Morera J., editors. Monitoring of wheeze duration during spontaneous respiration in asthmatic patients. 27th Annual International Conference of the IEEE on Engineering in Medicine and Biology Society, IEEE-EMBS 2005; 6141 - 6144.
- [17] Mayorga P., Druzgalski C., Morelos R., Gonzalez O., Vidales J., editors. Acoustics based assessment of respiratory diseases using GMM classification. Annual International Conference of the IEEE on Engineering in Medicine and Biology Society (EMBC), 2010: 6312 - 6316.
- [18] Homs-Corbera A., Jané R., Fiz J.A., Morera J., editors. Algorithm for time-frequency detection and analysis of wheezes. Proceedings of the 22<sup>nd</sup> Annual International Conference of the IEEE on Engineering in Medicine and Biology Society, 2000;4: 2977 - 2980.
- [19] Jin F., Sattar F., Goh D.Y.T., editors. Automatic wheeze detection using histograms of sample entropy. 30<sup>th</sup> Annual International Conference on Engineering in Medicine and Biology Society, 2008 EMBS 2008: 1890 - 1893.
- [20] Aydore S., Sen I., Kahya Y.P., Mihcak M.K., editors. Classification of respiratory signals by linear analysis. Annual International Conference on Engineering in Medicine and Biology Society IEEE, EMBC; 2009: 2617 - 2620.
- [21] Wisniewski M., Zielinski T., editors. Digital analysis methods of wheezes in asthma. International Conference on Signals and Electronic Systems IEEE (ICSES), 2010: 69 - 72.
- [22] Hsueh M.L., Chien J.C., Chang F.C., Wu H.D., Chong F.C., editors. Respiratory wheeze detection system. 27<sup>th</sup> Annual International Conference of the Engineering in Medicine and Biology Society, 2005 IEEE-EMBS; 2006: 7553 - 7559.
- [23] Homs-Corbera A., Fiz J.A., Morera J., Jané R. Time-frequency detection and analysis of wheezes during forced exhalation. IEEE Transactions on Biomedical Engineering, 2004;51(1):182-186.
- [24] Riella R., Nohama P., Maia J. Method for automatic detection of wheezing in lung sounds. Braz J Med Biol Res 2009;42(7):674-684.
- [25] Taplidou S.A., Hadjileontiadis L.J. Wheeze detection based on time-frequency analysis of breath sounds. Comput Biol Med 2007; 37(8):1073-1083
- [26] Kandaswamy A., Kumar C.S., Ramanathan R.P., Jayaraman S., Malmurugan N. Neural classification of lung sounds using wavelet coefficients. Comput Biol Med 2004; 34(6):523-537.
- [27] Yang J.Y., Yang M.Q., Luo Z., Ma Y., Li J., Deng Y., et al. A hybrid machine learning-based method for classifying the Cushing's Syndrome with comorbid adrenocortical lesions. BMC Genomics. 2008; 9 (Suppl 1):S23.