

# Structural, Optical Properties and Raman Spectroscopy of In<sub>2</sub>O<sub>3</sub> Doped LiTaO<sub>3</sub> Thin Films

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### ABSTRACT

In this experiment, undoped, 2 wt.%, 4 wt.% and 6 wt.%  $In_2O_3$  doped  $LiTaO_3$  thin films were successfully prepared by utilizing a spin coater to carry out chemical solution deposition on the substrate surface (CSD method). The films were grown on the p-type Si (100) substrates with 2 M in 2-methoxyethanol precursor, whose solubility was twisted at 4000 rpm for 30 seconds. Crystalline formation of the films was carried out at annealing temperature 850 °C, held for 15 hours at a temperature rise rate of  $1.67 \circ C/min$ . In term of XRD analysis, the structural properties of  $LiTaO_3$  thin film undergo increment in crystallite size and lattice parameter values as the concentration of indium doping increase. The optical properties and Raman spectra of the films were then obtained using UV-Vis spectrometer and Raman spectroscopy. From the XRD measurement, the result shows a hexagonal crystal structure with lattice parameters a = 5.032-5.051 Å and c = 13.643-13.676 Å, and from the UV-Vis data, we observed that the films have a 5.034-5.184 Ev energy gap with 1.70364373 - 1.70364377refractive index. Raman analysis produces peaks of  $LiTaO_3$ ,  $A_1TO_{10}$  ( $In_2O_3$ ) and  $A_1LO_{10}$ ( $In_2O_3$ ). Based on the characterization results, it can be concluded that the 6 wt%  $In_2O_3$ doped  $LiTaO_3$  thin films are very promising for application as a light sensor.

Keywords: LiTaO<sub>3</sub>, In<sub>2</sub>O<sub>3</sub>, x-ray diffraction, Raman spectroscopy, uv-vis spectroscopy

### 1. INTRODUCTION

A thin film made from Lithium tantalate (LiTaO<sub>3</sub>) is an essential optical material, mainly due to its excellent electro/acousto-optical properties, high electro-optic and pyroelectric coefficient of 30.5 pm/V and 230  $\mu$ C,m<sup>-2</sup>.s<sup>-1</sup> respectively, and high curie temperature of 655 °C [1,2]. The thickness of the sensitive cells is inversely proportional to the detector response [3-5]. LiTaO<sub>3</sub> is very attractive and promising material for optical sensor [3,7]. The performance of thin films can be increased by the addition of doping materials. The concentration of the doping materials affects the structural and optical properties of the thin films. Mendoza et al. [8] deposited Fedoped LiTaO<sub>3</sub> thin films by magnetron sputtering and they investigated the effect of iron doping on the crystalline structure. Irzaman et al. [14] prepared lanthanum doped LiTaO<sub>3</sub> thin films by CSD method and they investigated the effect of dopant addition on the crystal formation and the optical properties of the films.

There are various kinds of technology to synthesize  $LiTaO_3$  thin films. X-ray Diffraction (XRD) technology is based on diffraction of X-Ray when scattering light with a wavelength passing through a crystal lattice with a distance between crystal fields. Previous study stated on the crystal structure and lattice parameter found that the diffraction angle depends on the gap of the

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lattice width, thus affecting the diffraction pattern [12]. In contrast, the diffraction light intensity depends on the number of crystal lattices having the same orientation. Crystal system, parameter of the lattice, the degree of crystallinity, structure type, and orientation can be determined by this method. LiTaO<sub>3</sub> thin film with high purity and best intensity was selected from the XRD measurement [3,9,10].

Solid-state and molecule vibrational properties can be analyzed by using Raman spectroscopy [11,12]; thus, strain [12,15], doping [12,16,17], stoichiometry [12,13,14] and crystallinity property information can be obtained by this technology. Density functional theory (DFT) is the combination of Raman with theoretical approaches yielding phonon eigenvectors [12]. In this experiment, four types of solution (LiTaO<sub>3</sub>, LiTaO<sub>3</sub> + 2 wt.% In<sub>2</sub>O<sub>3</sub>, LiTaO<sub>3</sub> + 4 wt.% In<sub>2</sub>O<sub>3</sub>, and LiTaO<sub>3</sub> + 6 wt.% In<sub>2</sub>O<sub>3</sub>) were produced and structural, optical properties and raman spectra were carried out.

### 2. MATERIAL AND METHODS

The p-type Si (100) substrates cut in size of  $1x1 \text{ cm}^2$  were ultrasonically cleaned in acetone (C<sub>3</sub>H<sub>6</sub>O) and repeated sequentially using methanol (CH<sub>3</sub>OH) and deionized water successively for 15 minutes [5,9,24-27]. The undoped LiTaO<sub>3</sub> solution was prepared by dissolving 0.5897 gram of lithium tantalate (≥99.99%, Sigma-Aldrich 704393-5G) in 2.5 ml of 2-methoxyethanol. The 2 wt.%, 4 wt.%, and 6 wt.% In<sub>2</sub>O<sub>3</sub> doped LiTaO<sub>3</sub> solutions were prepared by dissolving 0.5897 gram of lithium tantalate and 0.01179 gram, 0.0236 gram, and 0.03538 gram of indium (III) oxide (99.99%, Sigma-Aldrich 289418-10G), respectively in 2.5 ml of 2-methoxyetanol.

To get the homogeneous LiTaO<sub>3</sub> solution, it was stirred using Vortex 3000 mixer and then sonicated using an ultrasonicator device (J.P Selecta) for 30 minutes [5,9]. Furthermore, the thin films were deposited on the p-type Si (100) substrates using spin coater for 30 seconds using a rotating speed of 4000 rpm. This process was repeated three times with a one-minute time interval [5,6,9,19,24-29]. The annealing process was done using Nabertherm B410 furnace at temperature 850°C for 15 hours, with a temperature increment speed of 1.67°C/min. The structural properties of the thin-film crystal were then obtained with an X-Ray Diffractometer (Bruker D2 PHASER) with the interval of 2 theta angles from 20 to 80 degrees with a 0.02 degrees step, where thin films have formed crystals at specific angular peaks with hexagonal-shaped crystal structures. Furthermore, the thin films were characterized by using a UV-Vis spectrometer (Ocean Optics USB4000-UV-Vis) and Raman spectroscopy (Horriba iHR550) to investigate the transversal and longitudinal phonon modes of the films [11,12,18,20-23].

## 3. RESULTS AND DISCUSSION

### 3.1 Structural properties

The XRD patterns of LiTaO<sub>3</sub> thin films were determined from  $20^{\circ} \le 20 \le 80^{\circ}$  intervals with a scanning rate of  $0.02^{\circ}$ /minute [9,14,30-32]. Figure 1 shows the XRD patterns of undoped and In<sub>2</sub>O<sub>3</sub> doped LiTaO<sub>3</sub> thin films [9,14,30]. The Miller index values were assigned by referring to the standard x-ray diffraction powder patterns file (JCPDS Natl Bur, 1977) and were in good agreement with the values reported in the file. From the Rietveld refinement result, the Goodness of Fit (GOF) for the undoped and In<sub>2</sub>O<sub>3</sub> doped LiTaO<sub>3</sub> thin films varies between 1.39 and 2.91. The Weighted R profile (R<sub>wp</sub>), expected R profile (R<sub>exp</sub>) and GOF are given in Table 1. The XRD patterns showed that LiTaO<sub>3</sub> thin films were both amorphous and crystalline with hexagonal crystal structure. The percentage of amorphous and crystalline are given by the crystallinity index (CI) in the Table 1. All the XRD patterns showed a preferred strong peak at (012) diffraction plane. The peaks profiles of the two most dominant peaks at (012) and (104) diffraction plane was

obtained by Gaussian fitting. Peak position (2 $\theta$ ) and the full width at half maximum (FWHM) from the peaks are shown in Table 2.



Figure 1. XRD patterns of LiTaO<sub>3</sub> thin films with different doped In<sub>2</sub>O<sub>3</sub> at annealing temperature 850°C

The crystallite size (D) of the undoped and  $In_2O_3$  doped LiTaO<sub>3</sub> thin films was calculated using Debye Scherer's formula:

$$D = \frac{k\lambda}{\beta \cos\theta} \tag{1}$$

where k is the shape factor (= 0.9),  $\lambda$  is the X-ray radiation wavelength (= 0.15406 nm),  $\beta$  is FWHM of diffraction peak in radian, and  $\theta$  is the Bragg's diffraction angle in radian. The highest crystallite size values observed were 51.408 nm and 46.620 nm for (012) and (104) diffraction plane in 6 wt.% In<sub>2</sub>O<sub>3</sub> doped LiTaO<sub>3</sub> thin film, respectively. The crystallite size decreased in line with the decrease in doping concentration, as shown in Table 2. The decrease of crystallite size may be due to better integration of In<sub>2</sub>O<sub>3</sub> ion into the LiTaO<sub>3</sub> structure [38].

The dislocation density ( $\delta$ ) of the undoped and In<sub>2</sub>O<sub>3</sub> doped LiTaO<sub>3</sub> thin films could be obtained using the Williamson-Smallman formula:

$$\delta = \frac{1}{D^2} \tag{2}$$

where D is the crystallite size of the films obtained from Eq. 1. It was observed that the dislocation density decreases as doping concentration increases.

The lattice strain ( $\epsilon$ ) of the undoped and In<sub>2</sub>O<sub>3</sub> doped LiTaO<sub>3</sub> thin films was calculated by using the following formula:

$$\varepsilon = \frac{\beta}{4\tan\theta} \tag{3}$$

The data show that when doping concentration increases beyond 2 wt.%, lattice strain will decrease. This suggests the creation of fewer defects in the  $LiTaO_3$  lattice. The same finding was obtained by Sahoo et al. [39] in indium doped ZnO thin films. All the calculated results from the XRD data are shown in Table 2.

The interplanar spacing  $(d_{hkl})$  for each peak can be calculated using Bragg's formula, as shown in Eq. 4. The lattice parameters (a and c) can be calculated from the interplanar spacing equation for hexagonal lattice as shown in Eq. 5, where hkl is the Miller indices of the plane of diffraction.

$$d_{hkl} = \frac{\lambda}{2sin\theta} \tag{4}$$

$$\frac{1}{d_{hkl^2}} = \frac{4}{3} \left( \frac{h^2 + hk + k^2}{a^2} \right) + \frac{l^2}{c^2}$$
(5)

The calculated lattice parameters for undoped and various wt.%  $In_2O_3$  doped LiTaO<sub>3</sub> thin films are tabulated in Table 3. From the data in Table 3, the calculated lattice parameters were slightly different from the JCPDS data due to differences in annealing temperature. The data also showed that the lattice parameters increased with increasing doping concentration.

Table 1 R-profile, Goodness of Fit and crystallinity index of LiTaO3 thin films doped with In2O3

Sample	Rwp	Rexp	GOF	Crystallinity Index (%)
LiTaO <sub>3</sub>	25.7	21.8	1.39	68.53
LiTaO <sub>3</sub> + 2 wt.% In <sub>2</sub> O <sub>3</sub>	43.1	28.82	2.24	51.06
LiTaO <sub>3</sub> + 4 wt.% In <sub>2</sub> O <sub>3</sub>	18.7	10.94	2.91	88.98
LiTaO <sub>3</sub> + 6 wt.% In <sub>2</sub> O <sub>3</sub>	34.4	23.68	2.11	65.32

Table 2 Crystallite size, dislocation density, and lattice strain of LiTaO<sub>3</sub> thin films doped with In<sub>2</sub>O<sub>3</sub>

Sample	Diffraction plane	2ө (deg)	FWHM (deg)	Crystallite size (nm)	Dislocation density (cm <sup>-2</sup> ) x 10 <sup>10</sup>	Lattice strain x 10 <sup>-3</sup>
LiTaO <sub>3</sub>	012	24.186	0.160	50.805	3.874	3.257
	104	33.293	0.197	42.001	5.669	2.881
LiTaO <sub>3</sub> + 2 wt.% In <sub>2</sub> O <sub>3</sub>	012	24.217	0.167	48.638	4.227	3.398
	104	33.333	0.197	42.016	5.665	2.877
LiTaO <sub>3</sub> + 4 wt.% In <sub>2</sub> O <sub>3</sub>	012	24.131	0.160	50.685	3.893	3.272
	104	33.231	0.189	43.834	5.204	2.765
LiTaO <sub>3</sub> + 6 wt.% In <sub>2</sub> O <sub>3</sub>	012	24.187	0.158	51.408	3.784	3.218
	104	33.293	0.178	46.620	4.601	2.596

Table 3 Lattice parameter values of  $LiTaO_3$  thin films doped with  $In_2O_3$  hexagonal structure

c (Å)	13.658	13.643	13.676	13.658	13.755
a (Å)	5.038	5.032	5.051	5.038	5.153

#### 3.2 Optical properties

The optical properties of the undoped and  $In_2O_3$  doped LiTaO<sub>3</sub> thin films were investigated by analyzing the reflectance spectra in the wavelength between 230 nm and 850 nm (UV-Vis range). The reflectance spectra of the synthesized films are shown in Figure 2. In the reflectance spectra, it is observed that the films have low reflectance in the ultra-violet range and high reflectance in the visible range. The band gap energy (Eg) values of the synthesized films could be estimated from the reflectance spectra. The recorded reflectance spectra were first transformed to the absorption spectra by applying the Kubelka-Munk function (F(R)). Then, the Tauc plot method is employed to determine the band gap energy [5,9,35]. The Kubelka-Munk function and the Tauc formula are given in Eq. 6 and Eq. 7, respectively.

$$F(R) = \frac{K}{S} = \frac{(1-R)^2}{2R} \propto \alpha_{K-M}$$
(6)

$$(\alpha_{K-M}h\nu)^{1/n} = B(h\nu - Eg) \tag{7}$$

K and S are absorption and scattering coefficients, respectively. R is reflectance value, hv is the photon's energy, B is a constant, Eg is the band gap energy, and n is the nature of the electron transition (=  $\frac{1}{2}$  for direct transition band gap).

From the Tauc plot, it is found that the optical band gap energy of undoped, 2 wt.%, 4 wt.%, and 6 wt.%  $In_2O_3$  doped LiTaO<sub>3</sub> thin films are 5,034 eV, 5,079 eV, 5.122 eV, and 5,184 eV, respectively, as shown in Figure 3. From the data, it was observed that the increase in doping concentration also increase the band gap energy of the films. This result is parallel agreement to Singh et al. [40].



Figure 2. Reflectance spectra of LiTaO<sub>3</sub> thin films



Refractive index  $(n_e)$  of the films were obtained by using Sellmeier formula [9,36,37]:

$$n_e^{2}(\lambda, T) = A + \frac{B + b(T)}{\lambda^2 - [C + c(T)]^2} + \frac{E}{\lambda^2 - F^2} + \frac{G}{\lambda^2 - H^2} + D\lambda^2$$
(8)

where  $\lambda$  is the wavelength related to the band gap energy value, b(T), c(T) is the temperature dependent, and A, B, C, D, E, F, G, H are the coefficients of Sellmeier. The data show that the value of the refractive index also increases as the band gap energy increased. The refractive index, band gap energy and related color spectra of undoped, 2 wt.%, 4 wt.%, and 6 wt.% In<sub>2</sub>O<sub>3</sub> doped LiTaO<sub>3</sub> thin films were listed in Table 4. The result was acceptable as previous study by Zielinska et al. [30].

<b>Table 4</b> The energy gap and the refractive index of LiTaO <sub>3</sub> thin films							
Sample	Band gap (eV)	Wavelength (nm)	Color spectra	Refractive index			
LiTaO3	5.034	246.855	ultraviolet	1.70364373			
LiTaO3 + 2 wt.% In2O3	5.079	244.692	ultraviolet	1.70364374			
LiTaO3 + 4 wt.% In2O3	5.122	242.529	ultraviolet	1.70364375			
LiTaO3 + 6 wt.% In2O3	5.184	239.715	ultraviolet	1.70364377			

#### 3.3 Raman Spectrum

Raman spectrum of  $LiTaO_3$  single crystals with various stoichiometric was used to investigate the compositional uniformity of the crystals [11,12,18]. The first principles, the peaks of Raman intensity were assigned by referring to the mode energy [12,33,34]. The phonons identification may become complicated because some Raman intensity might be lost on the calculated modes [12]. Longitudinal phonon mode was observed when polarization vectors and phonon wave

vector are parallel, whereas transversal phonon mode was observed when polarization vectors and phonon wave vector are perpendiculars.

Figure 4 shows the Raman spectrum of undoped, 2 wt.%, 4 wt.%, and 6wt.%  $In_2O_3$  doped LiTaO<sub>3</sub> thin films. In this experiment, Raman spectra were obtained by Raman spectroscopy in the range of 100 to 1000 cm<sup>-1</sup>.



Figure 4. Raman spectroscopy of LiTaO3

Table 5 shows the result of active phonon frequencies of undoped, 2%, 4%, and 6%  $In_2O_3$  doped  $LiTaO_3$  thin films. The experiment results show that the most significant deviation is 5 cm<sup>-1</sup> at  $A_1$  TO<sub>4</sub> and the mean deviation is 1.82 cm<sup>-1</sup>.

Symmetry	Mode	Exp.	Literature [9]	Mode	Exp.	Literature [9]
A1	T01	209	209	L01		255
A1	T02	285	286	L02	356	355
A1	TO3		376	L03		403
A1	T04	596	591	L04	863	866
Е	T01	144	144	L01		190
Е	T02		199	L02		
Е	T03	253	253	L03		279
Е	T04	315	319	L04		
Е	T05		409	L05	380	381
Е	T06		420	L06		453
Е	T07	463	459	L07		
Е	T08		590	L08	661	660
Е	T09		669	L09		866
A1	T010	165		L010	521	

Table 5 Theory and experiment result of active phonon frequencies of LiTaO3

Phonon activities in hexagonal crystal structures (XRD analysis) and electron jump from valence band into induction band to become free electron (UV-Vis analysis) leads to the occurrence of

transversal and longitudinal mode (Raman spectroscopy) and electron free radicals on the thin film surface. The intensity difference of the free radicals shown in Raman spectroscopy (Figure 4) is caused by  $In^{3+}$  doping and radius in the LiTaO<sub>3</sub> thin films. For both undoped and  $In_2O_3$  doped LiTaO<sub>3</sub> thin films, the experiment results of active phonon frequencies are in good agreement with the literature [12].

### 4. CONCLUSION

The formation of  $In_2O_3$  doped LiTaO<sub>3</sub> thin films with CSD method was succeeded. Analysis of the thin films was conducted using XRD, UV-Vis spectrometer and Raman spectroscopy. The XRD patterns show that the structural properties of LiTaO<sub>3</sub> thin film are affected by the indium doping, where the increase in doping concentration causes an increase of crystallite size and lattice parameter values. The reflectance spectrum showed that the films have low reflectance in the ultra-violet range, with the refractive index between 1.70364373 and 1.70364377 for undoped film and 6 wt.%  $In_2O_3$  doped film, respectively. The Tauc plot results show that increasing the doping concentration is 5 cm<sup>-1</sup> (A<sub>1</sub>TO<sub>4</sub>) for the 4 wt.%  $In_2O_3$  doped film. Based on the characterization result, it can be concluded that the LiTaO<sub>3</sub> thin films are very promising for application as a light sensor, especially in the ultraviolet region, where 6 wt.%  $In_2O_3$  doped film shows best figure of merit.

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